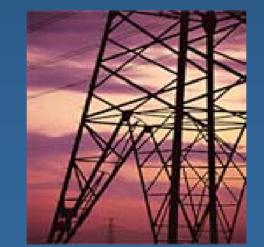




Powering the Planet Nathan S. Lewis, California Institute of Technology







Global Energy Perspective

- Present Energy Perspective
- Future Constraints Imposed by Sustainability
- Challenges in Exploiting Carbon-Neutral Energy Sources Economically on the Needed Scale

Nathan S. Lewis, California Institute of Technology Division of Chemistry and Chemical Engineering Pasadena, CA 91125 http://nsl.caltech.edu

Perspective

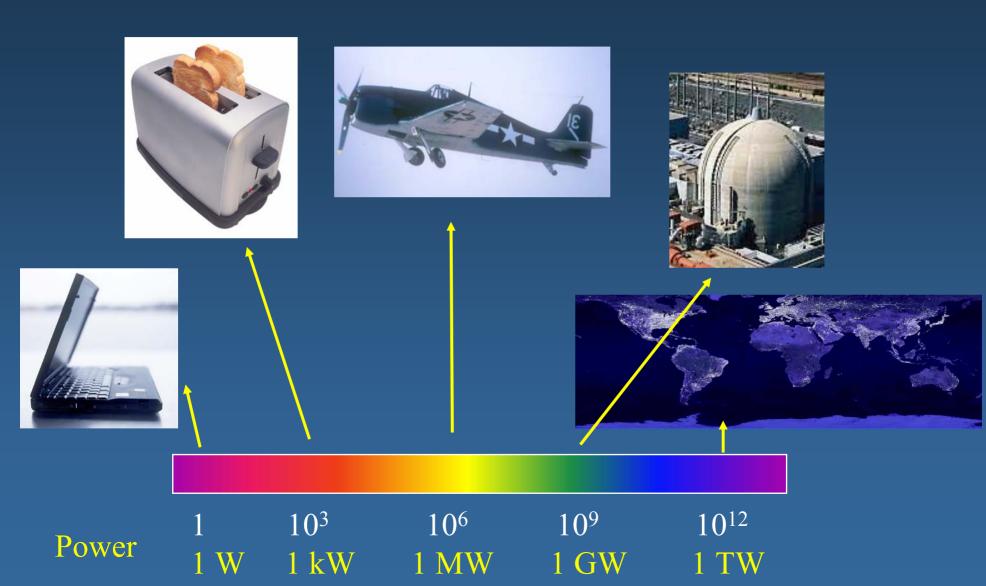
"Energy is the single most important challenge facing humanity today." Nobel Laureate Rick Smalley, April 2004, Testimony to U.S. Senate

"..energy is the single most important scientific and technological challenge facing humanity in the 21st century..": Chemical and Engineering News, August 22, 2005.

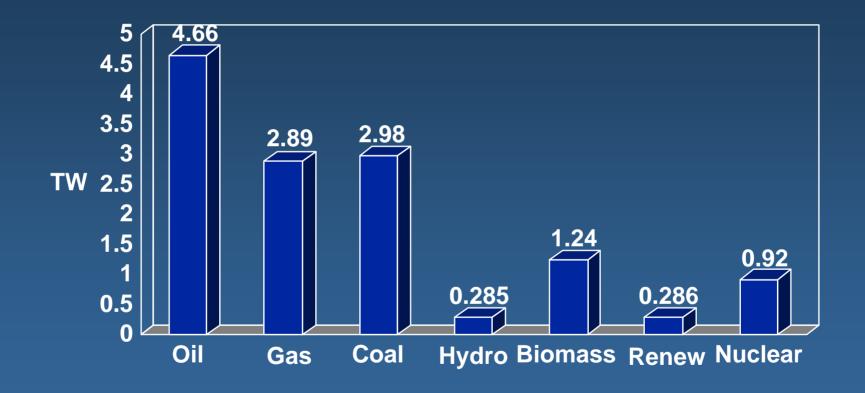
"What should be the centerpiece of a policy of American renewal is blindingly obvious: making a quest for energy independence the moon shot of our generation", Thomas L. Friedman, New York Times, Sept. 23, 2005.

"The time for progress is now. .. it is our responsibility to *lead* in this mission", Susan Hockfield, on energy, in her MIT Inauguration speech.

Power Units: The Terawatt Challenge

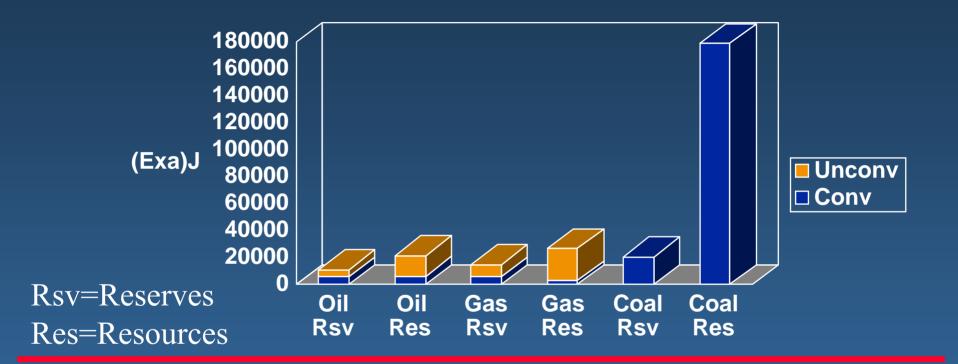


Global Energy Consumption, 2001



Total: 13.2 TW U.S.: 3.2 TW (96 Quads)

Energy Reserves and Resources



Reserves/(1998 Consumption/yr)

Oil	40-78
Gas	68-176
Coal	224

Resource Base/(1998 Consumption/yr) 51-151 207-590 2160

Energy and Sustainability

"It's hard to make predictions, especially about the future"

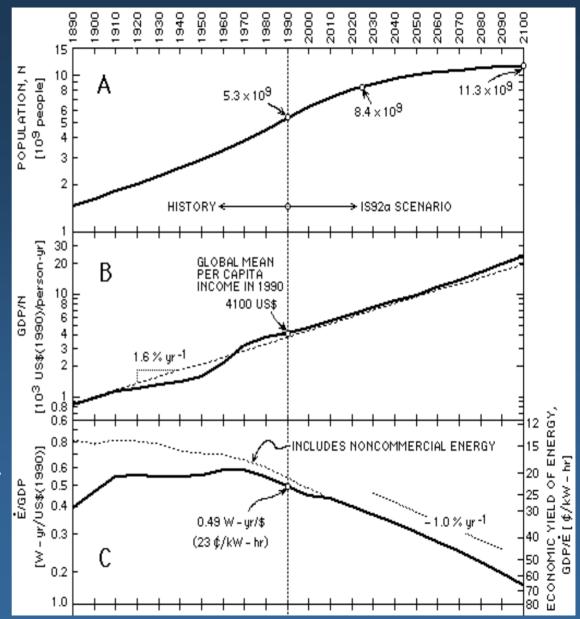
 M. I. Hoffert et. al., Nature, 1998, 395, 881, "Energy Implications of Future Atmospheric Stabilization of CO₂ Content

> adapted from IPCC 92 Report: Leggett, J. et. al. in Climate Change, The Supplementary Report to the Scientific IPCC Assessment, 69-95, Cambridge Univ. Press, 1992

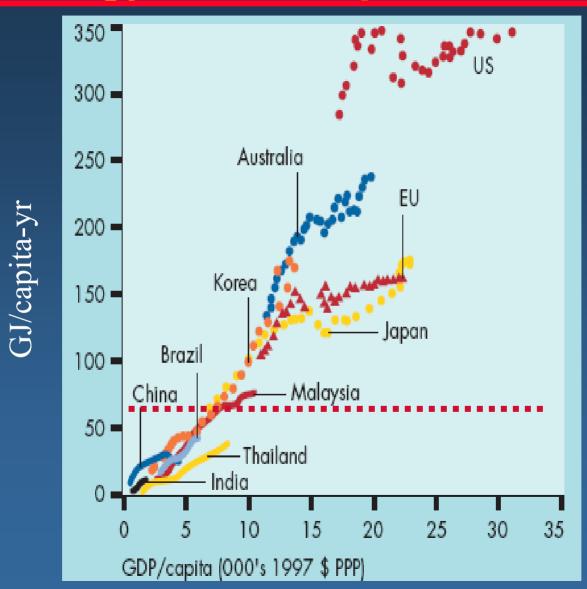
Population Growth to 10 - 11 Billion People in 2050

Per Capita GDP Growth at 1.6% yr⁻¹

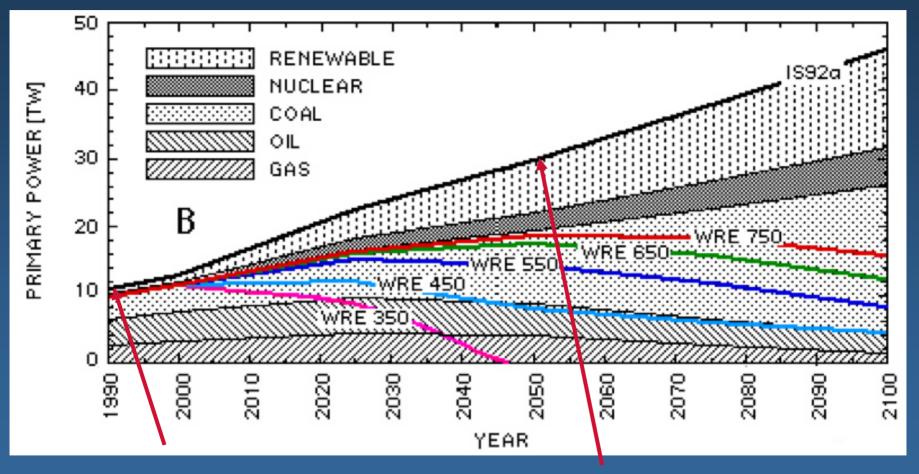
Energy consumption per Unit of GDP declines at 1.0% yr ⁻¹



Energy Consumption vs GDP

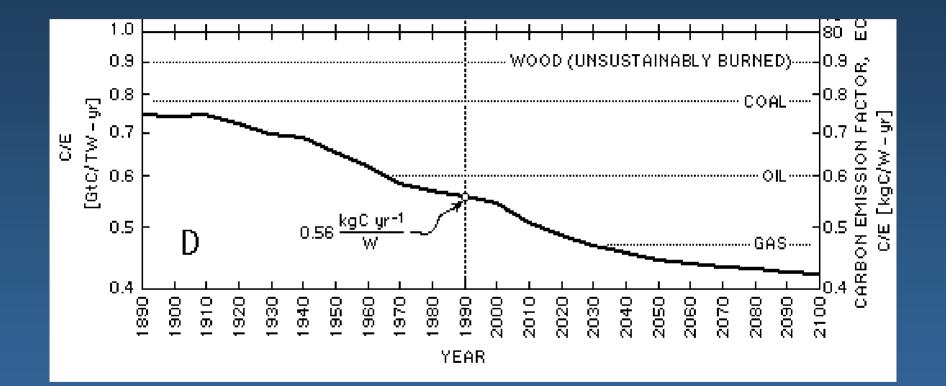


Total Primary Power vs Year



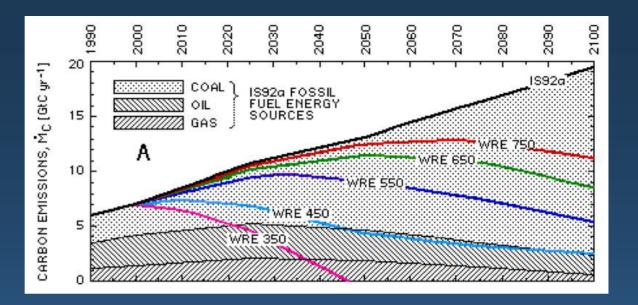
1990: 12 TW 2050: 28 TW

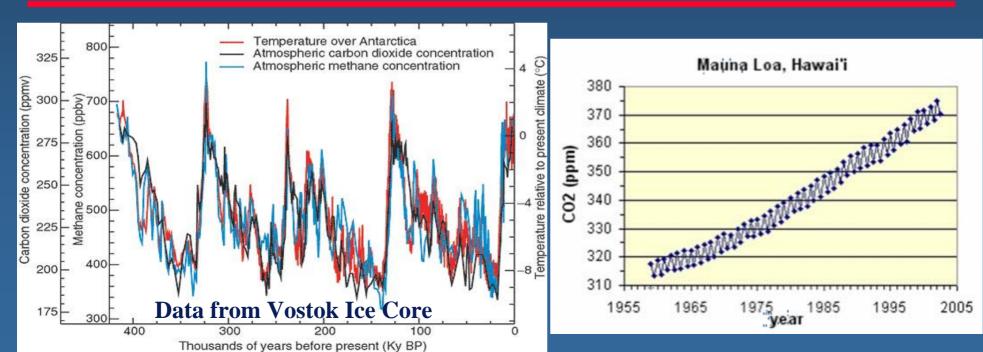
Carbon Intensity of Energy Mix



M. I. Hoffert et. al., Nature, 1998, 395, 881

CO₂Emissions for vs CO₂(atm)



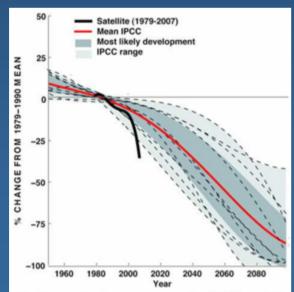


Greenland Ice Sheet









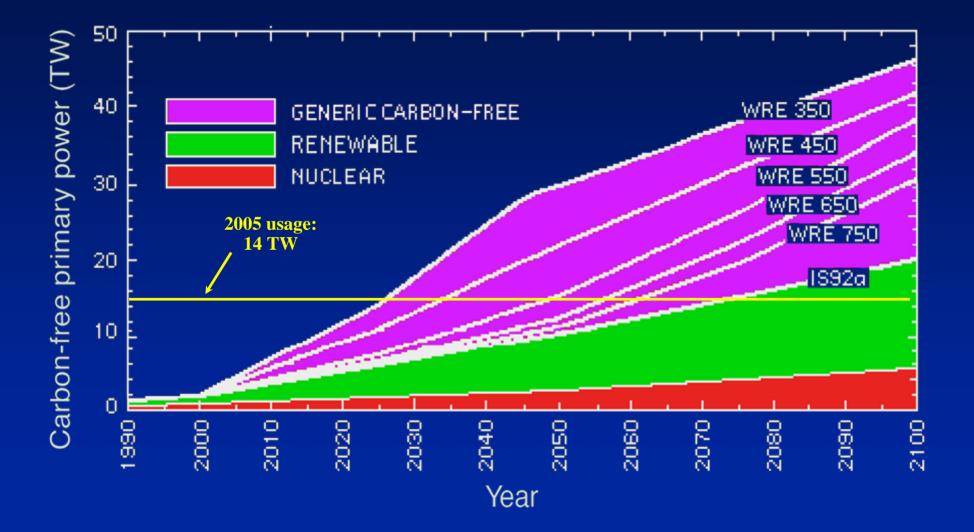
Permafrost



Coral Bleaching



Projected Carbon-Free Primary Power



Hoffert et al.'s Conclusions

• "These results underscore the pitfalls of "wait and see"."

• Without policy incentives to overcome socioeconomic inertia, development of needed technologies will likely not occur soon enough to allow capitalization on a 10-30 TW scale by 2050

• "Researching, developing, and commercializing carbon-free primary power technologies capable of 10-30 TW by the mid-21st century could require efforts, perhaps international, pursued with the urgency of the Manhattan Project or the Apollo Space Program."

Sources of Carbon-Free Power

• Nuclear (fission and fusion)

- Carbon sequestration
- Renewables

Sources of Carbon-Free Power

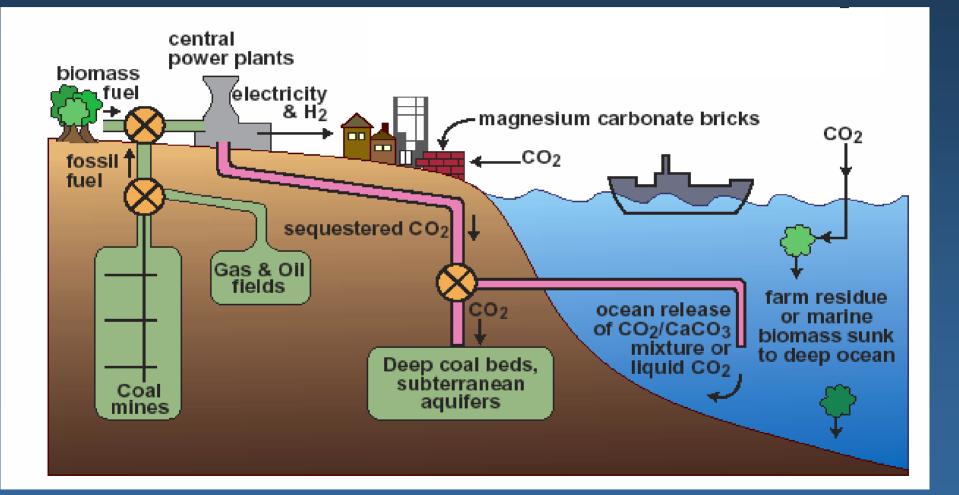
• Nuclear (fission and fusion)

- 10 TW = 10,000 new 1 GW reactors
- i.e., a new reactor every other day for the next 50 years
- 2.3 million tonnes proven reserves; 1 TW-hr requires 22 tonnes of U
 Hence at 10 TW, terrestrial resource base provides 10 years of energy
 More energy in CH₄ than in ²³⁵U
 Would need to mine U from seawater (700 x terrestrial resource base; so needs 3000 Niagra Falls or breeders)
 At \$5/W, requires \$50 Trillion (2006 GWP = \$65 trillion)



- Carbon sequestration
- Renewables

Carbon Sequestration



CO₂ Burial: Saline Reservoirs

130 Gt total U.S. sequestration potential Global emissions 6 Gt/yr in 2002 Test sequestration projects 2002-2004

• Near sources (power plants, refineries, coal fields)

- Distribute only H₂ or electricity
- Must not leak

•At 2 Gt/yr sequestration rate, surface of U.S. would rise 5 cm by 2100



Study Areas

Solar

Biomass

Ocean

Wind



Hydroelectric

Geothermal



Hydroelectric

Gross: 4.6 TW Technically Feasible: 1.6 TW Economic: 0.9 TW Installed Capacity: 0.6 TW



Geothermal

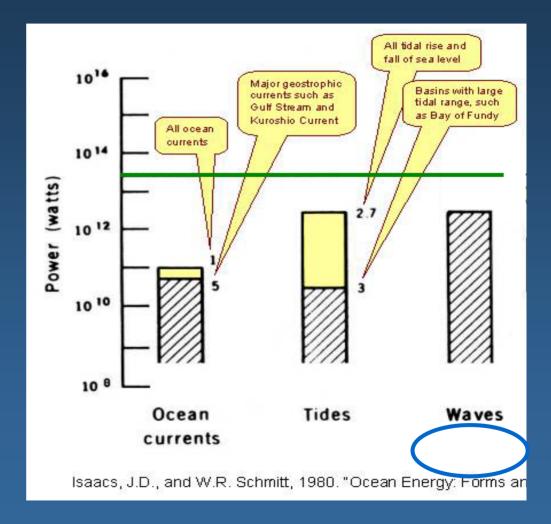
Mean flux at surface: 0.057 W/m² Continental Total Potential: 11.6 TW

Wind 4% Utilization Class 3 and Above 2-3 TW



Ocean Energy Potential







Biomass

50% of all cultivatable land: 7-10 TW (gross) 1-2 TW (net)

Biomass Energy Potential

Global: Bottom Up

- Land with Crop Production Potential, 1990: 2.45x10¹³ m²
- Cultivated Land, 1990: 0.897 x10¹³ m²
- Additional Land needed to support 9 billion people in 2050: 0.416x10¹³ m²
- Remaining land available for biomass energy: 1.28x10¹³ m²
- At 8.5-15 oven dry tonnes/hectare/year and 20 GJ higher heating value per dry tonne, energy potential is 7-12 TW
- Perhaps 5-7 TW by 2050 through biomass (less CO₂ displaced)
- Possible/likely that this is water resource limited
- 25% of U.S. corn in 2007 provided 2% of transportation fuel

Solar: potential 1.2×10^5 TW; practical > 600 TW



Solar Energy Potential

- Theoretical: 1.2x10⁵ TW solar energy potential (1.76 x10⁵ TW striking Earth; 0.30 Global mean albedo) •Energy in 1 hr of sunlight \leftrightarrow 14 TW for a year • **Practical**: > 600 TW solar energy potential (50 TW - 1500 TW depending on land fraction etc.; WEA 2000) Onshore electricity generation potential of $\approx 60 \text{ TW}$ (10%) conversion efficiency):
- Photosynthesis: 90 TW

Solar Land Area Requirements

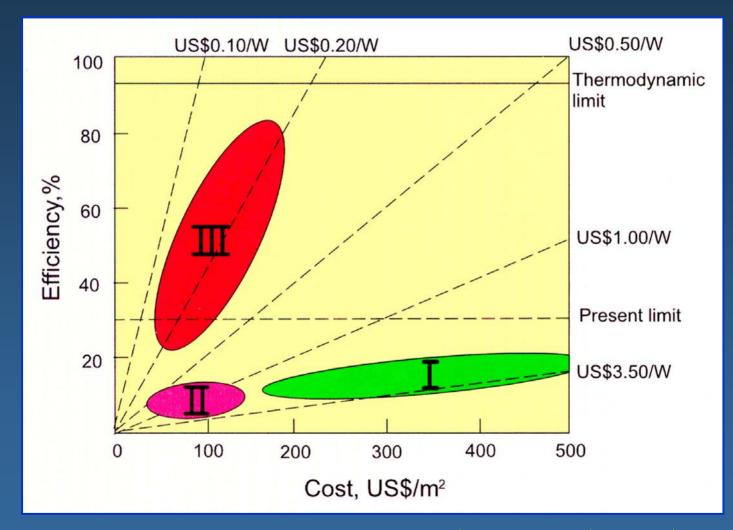


Solar Land Area Requirements



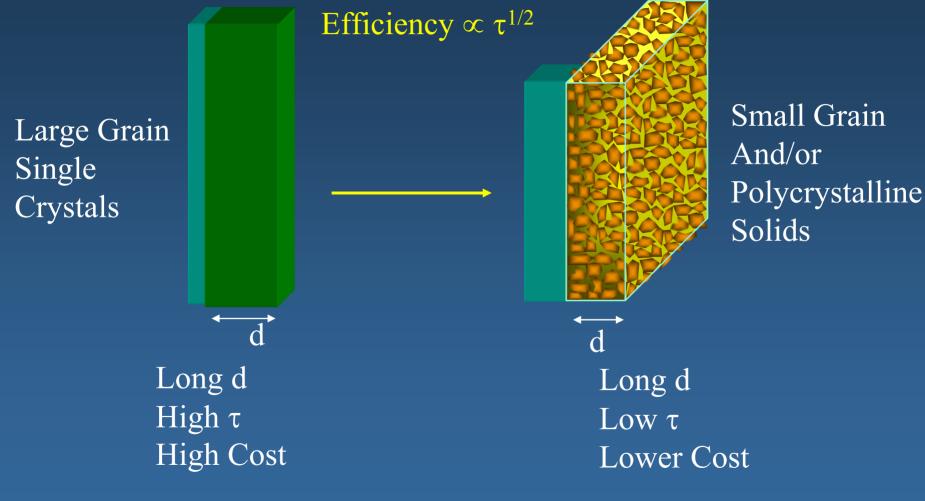
6 Boxes at 3.3 TW Each

Cost/Efficiency of Photovoltaic Technology



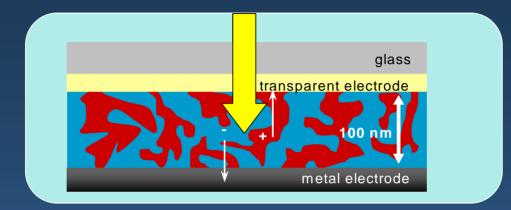
Costs are modules per peak W; installed is \$5-10/W; \$0.35-\$1.5/kW-hr

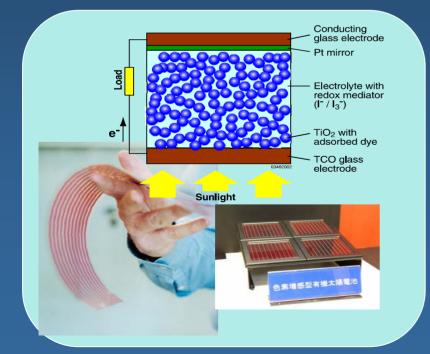
Cost vs. Efficiency Tradeoff

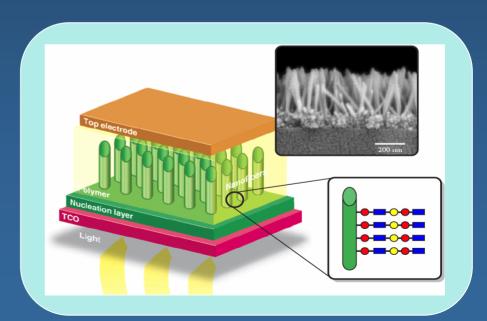


 τ decreases as grain size (and cost) decreases

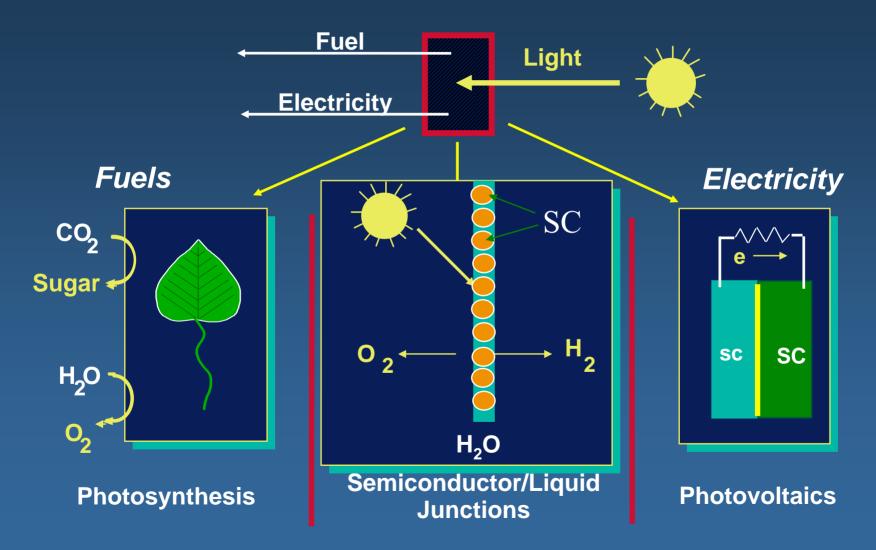
Interpenetrating Nanostructured Networks





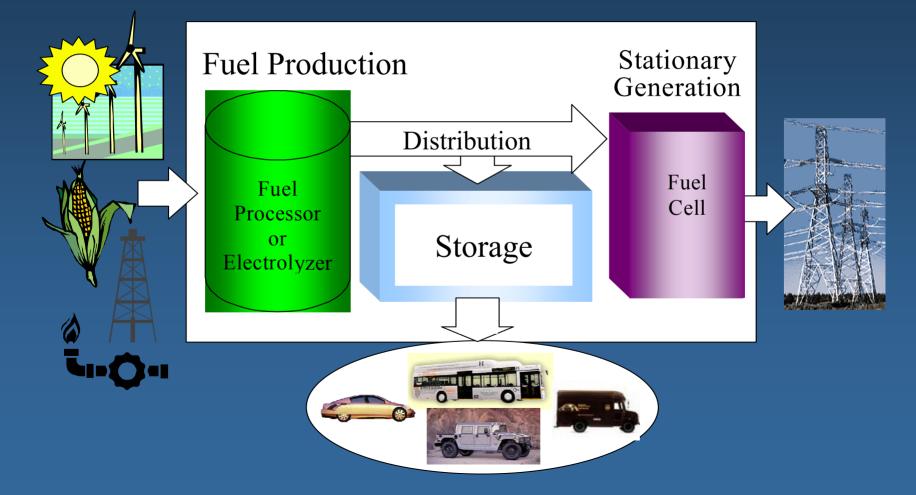


Energy Conversion Strategies

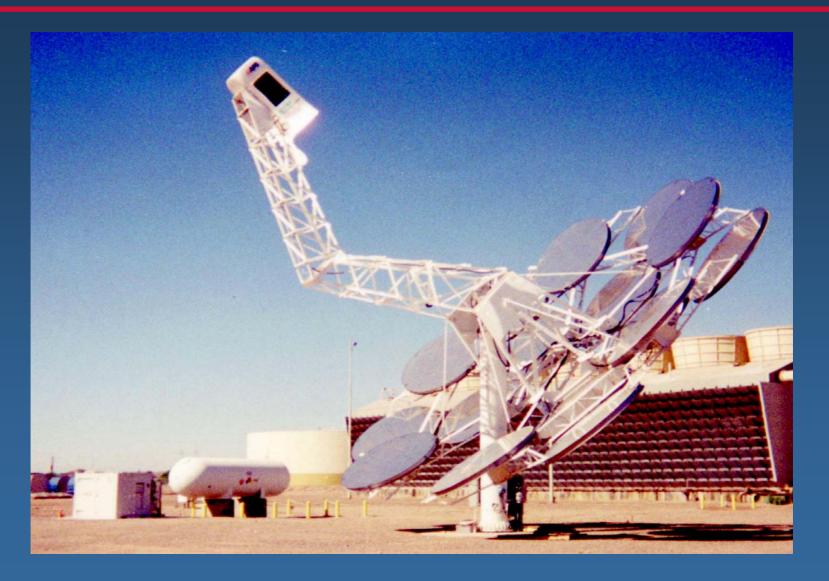


The Need to Produce Fuel

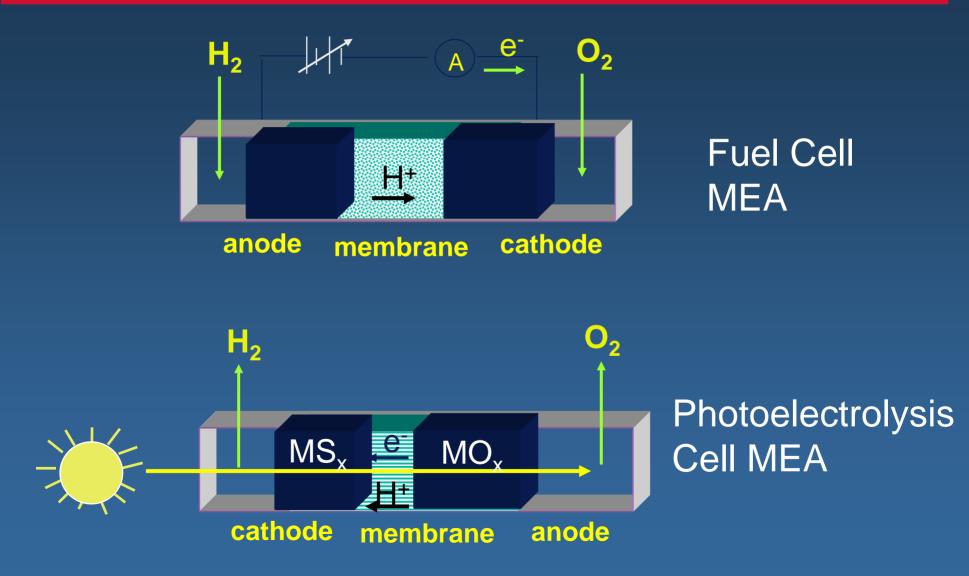
"Power Park Concept"



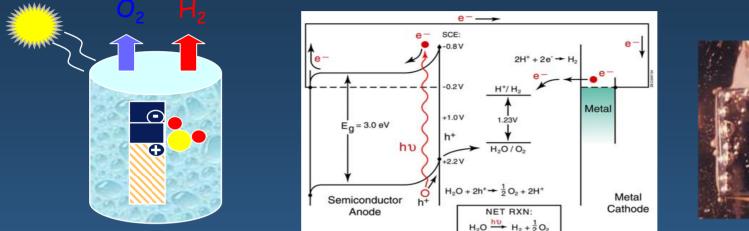
Photovoltaic + Electrolyzer System



Fuel Cell vs Photoelectrolysis Cell



Efficient Solar Water Splitting



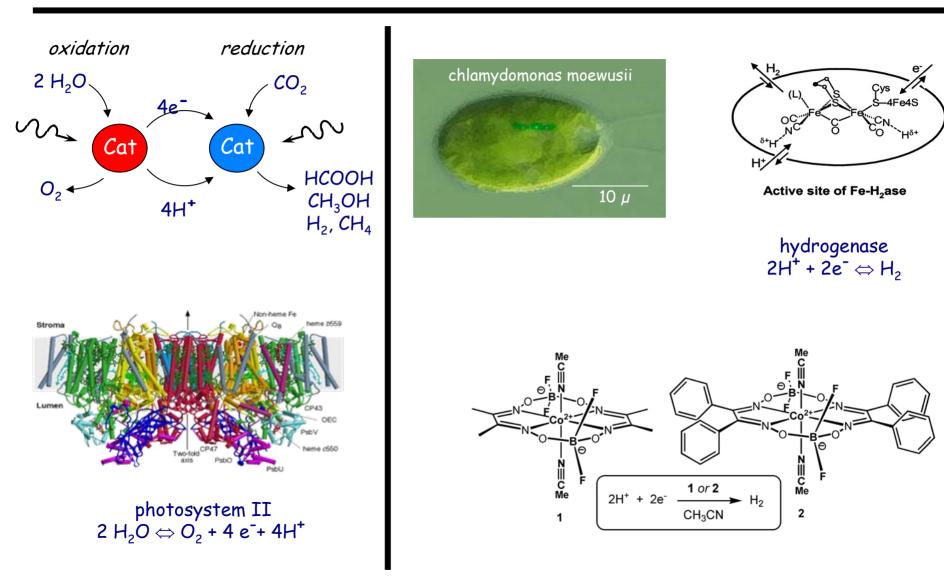


demonstrated efficiencies 10-18% in laboratory

Scientific Challenges

- cheap materials that are robust in water
- · catalysts for the redox reactions at each electrode
- $\boldsymbol{\cdot}$ nanoscale architecture for electron excitation \Rightarrow transfer \Rightarrow reaction

Solar-Powered Catalysts for Fuel Formation



Summary

Need for Additional Primary Energy is Apparent

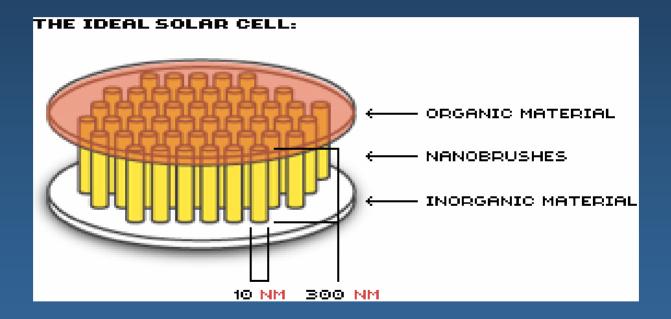
 Case for Significant (Daunting?) Carbon-Free Energy Seems Plausible (Imperative?): CO₂ emissions growth: 1990-1999: 1.1%/yr; 2000-2006: 3.1%/yr

Scientific/Technological Challenges

- Energy efficiency: energy security and environmental security
- Coal/sequestration; nuclear/breeders; Cheap Solar Fuel Inexpensive conversion systems, effective storage systems Policy Challenges
- Is Failure an Option?

• Will there be the needed commitment? In the remaining time?

Nanotechnology Solar Cell Design



Conclusion

- Solar is a critical piece of any longterm energy strategy
- PV is a significant, and growing, market
- Sustained, targeted, long-term investment is needed to enable the technology breakthroughs that will unlock the ultimate potential of Solar Energy

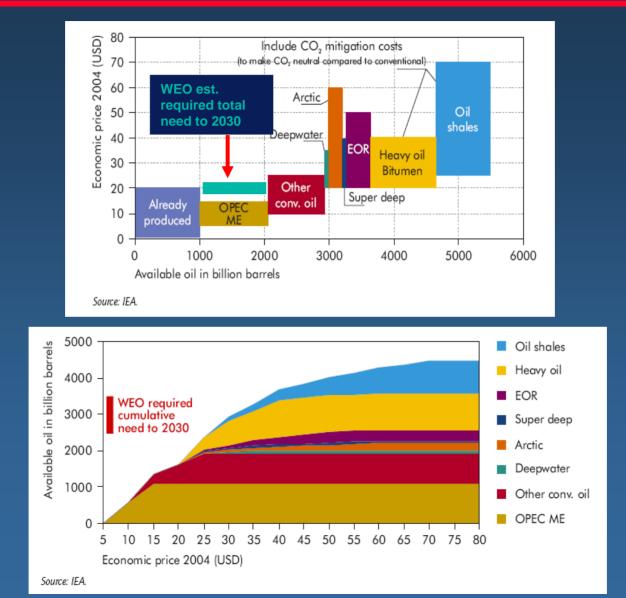


Biomass Energy Potential

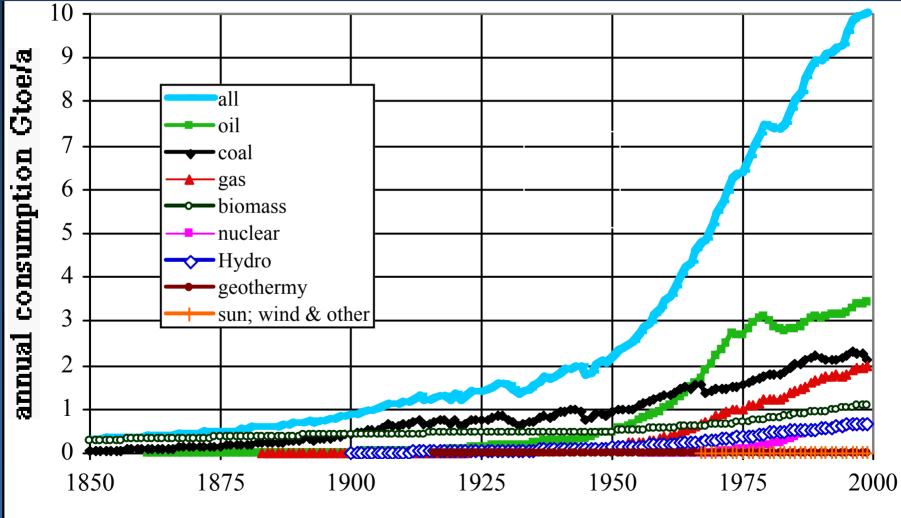
Carbon Debts and Land Use Changes

- Land with Crop Production Potential, 1990: 2.45x10¹³ m²
- Cultivated Land, 1990: 0.897 x10¹³ m²
- Additional Land needed to support 9 billion people in 2050: 0.416x10¹³ m²
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- Perhaps 5-7 TW by 2050 through biomass (less CO₂ displaced)
- Possible/likely that this is water resource limited
- 25% of U.S. corn in 2007 provided 2% of transportation fuel

Oil Supply Curves



Global Energy Consumption

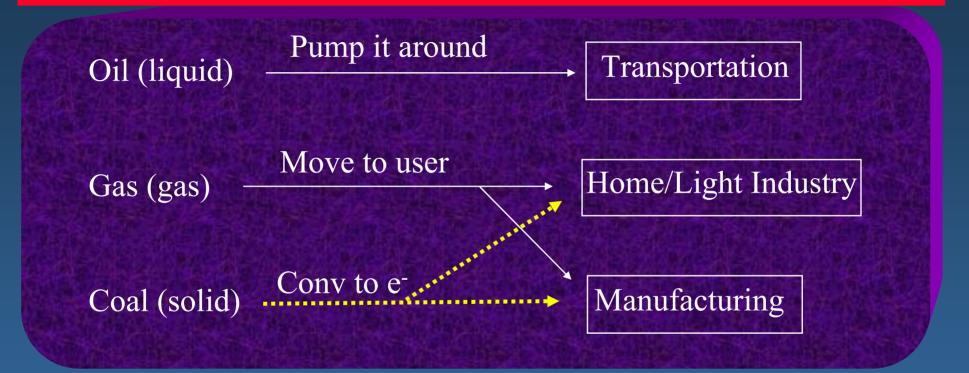


year

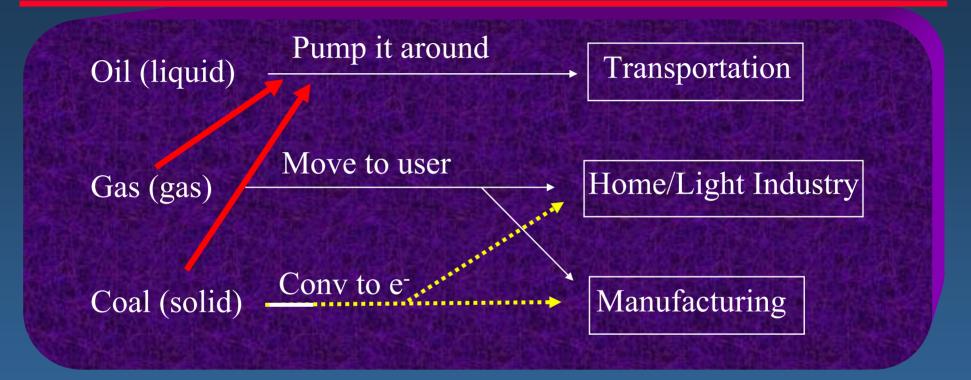
Solar Land Area Requirements

• 1.2x10⁵ TW of solar energy potential globally

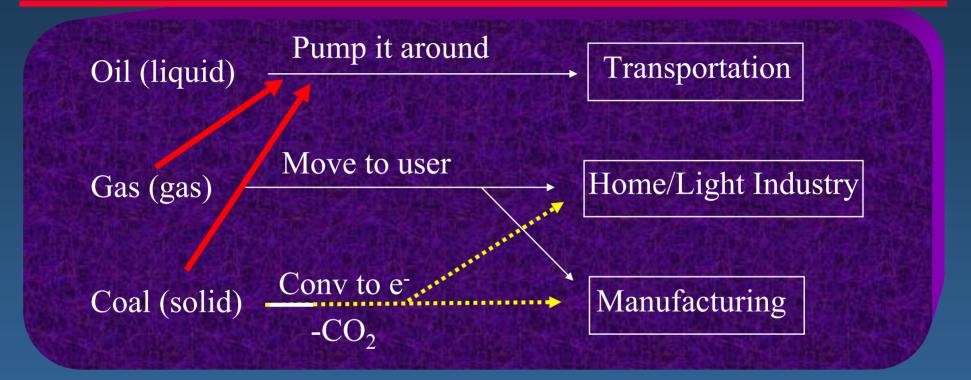
- Generating $2x10^1$ TW with 10% efficient solar farms requires $2x10^2/1.2x10^5 = 0.16\%$ of Globe = $8x10^{11}$ m² (i.e., 8.8 % of U.S.A)
- Generating 1.2x10¹ TW (1998 Global Primary Power) requires 1.2x10²/1.2x10⁵= 0.10% of Globe = 5x10¹¹ m² (i.e., 5.5% of U.S.A.)



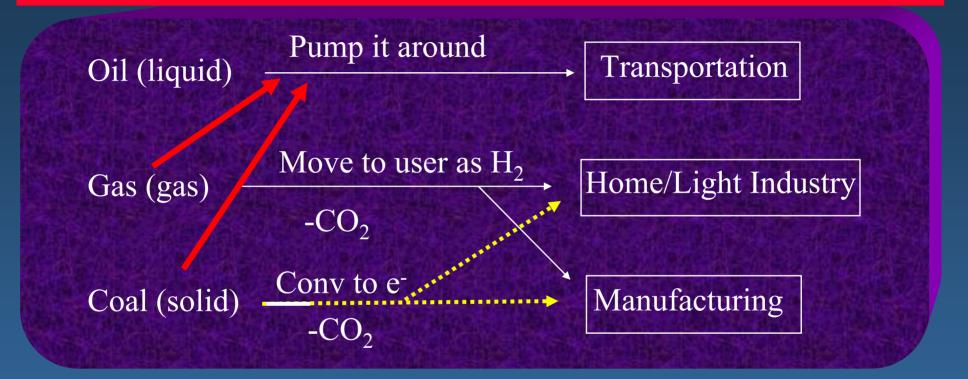
Currently end use well-matched to physical properties of resources



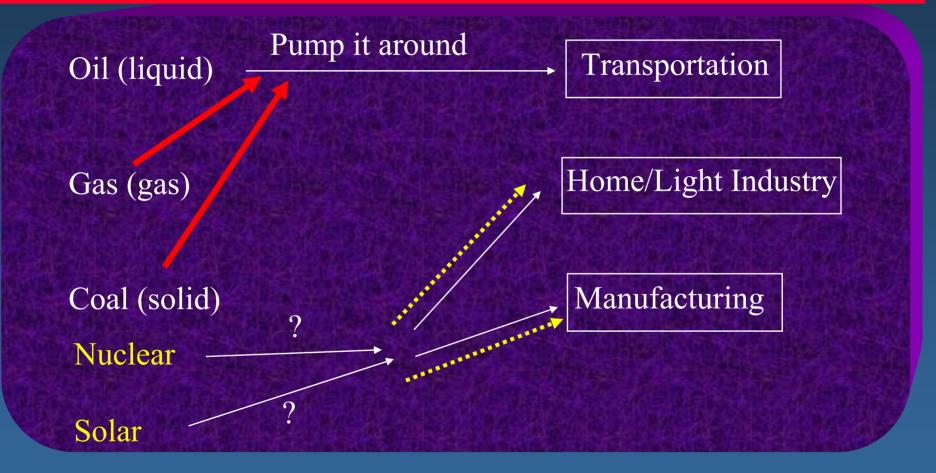
If deplete oil (or national security issue for oil), then liquify gas, coal



If carbon constraint to 550 ppm and sequestration works



If carbon constraint to <550 ppm *and* sequestration works



If carbon constraint to 550 ppm and sequestration does not work

Solar Electricity, 2001

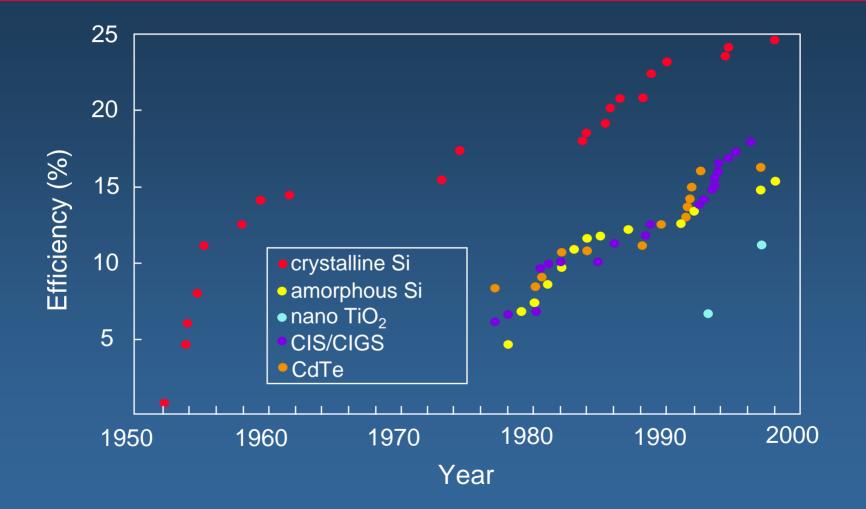
Production is Currently Capacity Limited (100 MW mean power output manufactured in 2001) *but*, subsidized industry (Japan biggest market)

High Growth *but*, off of a small base (0.01% of 1%)

Cost-favorable/competitive in off-grid installations
 but, cost structures up-front vs amortization of grid-lines disfavorable

•Demands a systems solution: Electricity, heat, storage

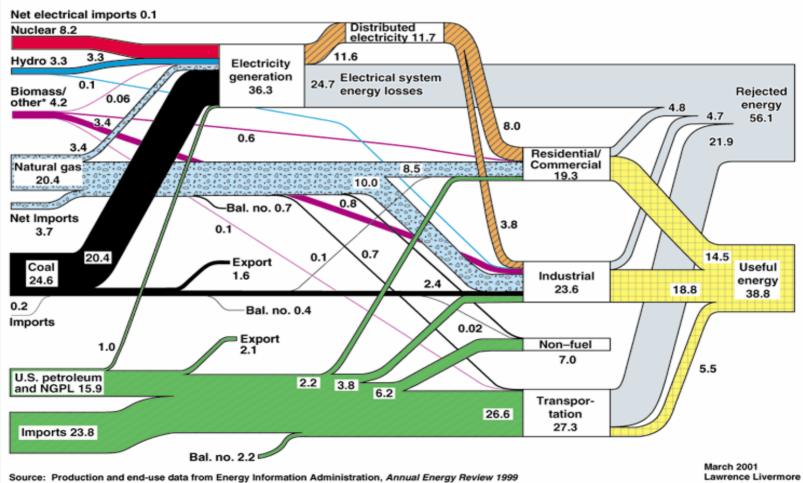
Efficiency of Photovoltaic Devices



Quotes from PCAST, DOE, NAS The principles are known, but the technology is not Will our efforts be too little, too late? Solar in 1 hour > Fossil in one year 1 hour \$\$\$ gasoline > solar R&D in 6 years

Will we show the commitment to do this? Is failure an option?

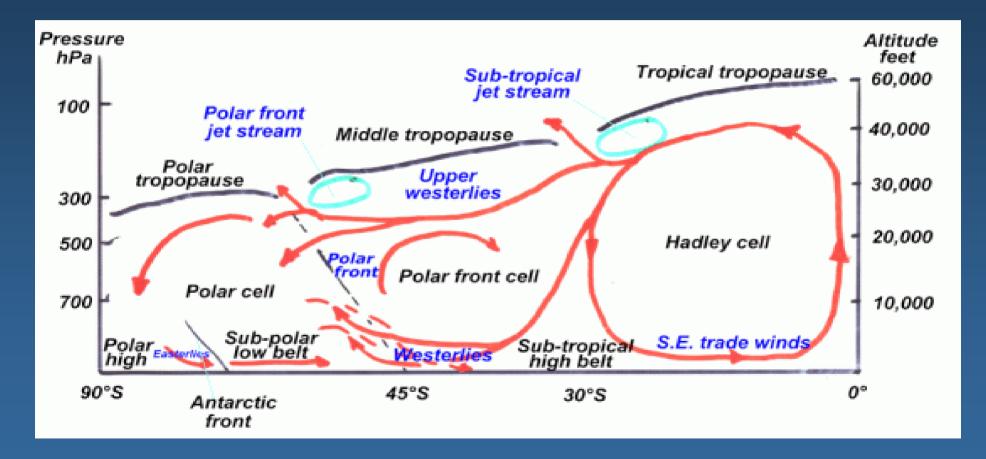
US Energy Flow -1999 Net Primary Resource Consumption 102 Exajoules



*Biomass/other includes wood and waste, geothermal, solar, and wind.

National Laboratory

Tropospheric Circulation Cross Section



Primary vs. Secondary Power

Transportation Power

Hybrid Gasoline/ElectricHybrid Direct MethanolFuel Cell/Electric

Primary Power

- Wind, Solar, Nuclear; Bio.
 CH₄ to CH₃OH
- "Disruptive" Solar
 CO₂ → CH₃OH + (1/2) O₂

Hydrogen Fuel Cell/Electric?

• $H_2O \rightarrow H_2 + (1/2)O_2$

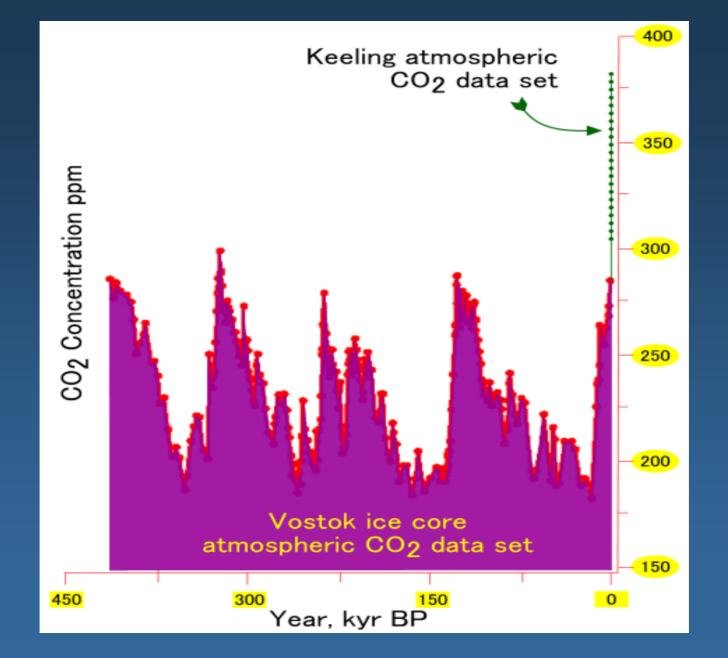
Challenges for the Chemical Sciences

CHEMICAL TRANSFORMATIONS

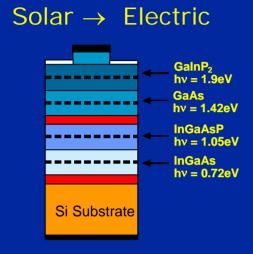
- Methane Activation to Methanol: $CH_4 + (1/2)O_2 = CH_3OH$
- Direct Methanol Fuel Cell: $CH_3OH + H_2O = CO_2 + 6H^+ + 6e^-$
- CO_2 (Photo)reduction to Methanol: $CO_2 + 6H^+ + 6e^- = CH_3OH$
- H_2/O_2 Fuel Cell: $H_2 = 2H^+ + 2e^-; O_2 + 4H^+ + 4e^- = 2H_2O$

• (Photo)chemical Water Splitting: $2H^+ + 2e^- = H_2$; $2H_2O = O_2 + 4H^+ + 4e^-$

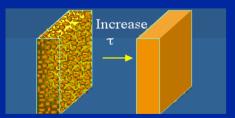
• Improved Oxygen Cathode; $O_2 + 4H^+ + 4e^- = 2H_2O$



Powering the Planet

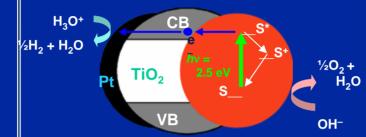


Extreme efficiency at moderate cost

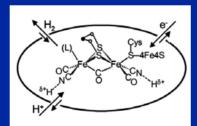


Solar paint: grain boundary passivation

Solar \rightarrow Chemical

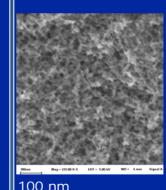


Photoelectrolysis: integrated energy conversion and fuel generation



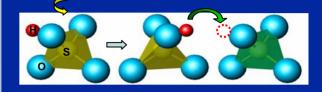
Active site of Fe-H₂ase

Bio-inspired fuel generation



Catalysis: ultra high surface area, nanoporous materials

Chemical → Electric



Inorganic electrolytes: bare proton transport

Synergies: Catalysis, materials discovery, materials processing

Hydrogen vs Hydrocarbons

• By essentially all measures, H_2 is an inferior transportation fuel relative to liquid hydrocarbons

•So, why?

• Local air quality: 90% of the benefits can be obtained from clean diesel without a gross change in distribution and end-use infrastructure; no compelling need for H_2

• Large scale CO_2 sequestration: Must distribute either electrons or protons; compels H_2 be the distributed fuel-based energy carrier

• Renewable (sustainable) power: no compelling need for H_2 to end user, e.g.: $CO_2 + H_2 \rightarrow CH_3OH \rightarrow DME \rightarrow$ other liquids

Observations of Climate Change

Evaporation & rainfall are increasing;

- More of the rainfall is occurring in downpours
- Corals are bleaching
- Glaciers are retreating
- Sea ice is shrinking
- Sea level is rising
- Wildfires are increasing
- Storm & flood damages are much larger

Solar Thermal, 2001

- Roughly equal global energy use in each major sector: transportation, residential, transformation, industrial
 World market: 1.6 TW space heating; 0.3 TW hot water; 1.3 TW process heat (solar crop drying: ≈ 0.05 TW)
 - Temporal mismatch between source and demand requires storage
- (Δ S) yields high heat production costs: (0.03-0.20)/kW-hr
- High-T solar thermal: currently lowest cost solar electric source (\$0.12-0.18/kW-hr); potential to be competitive with fossil energy in long term, but needs large areas in sunbelt
- Solar-to-electric efficiency 18-20% (research in thermochemical fuels: hydrogen, syn gas, metals)

Solar Land Area Requirements

- U.S. Land Area: 9.1x10¹² m² (incl. Alaska)
- Average Insolation: 200 W/m²
- 2000 U.S. Primary Power Consumption: 99 Quads=3.3 TW
 1999 U.S. Electricity Consumption = 0.4 TW
- Hence:

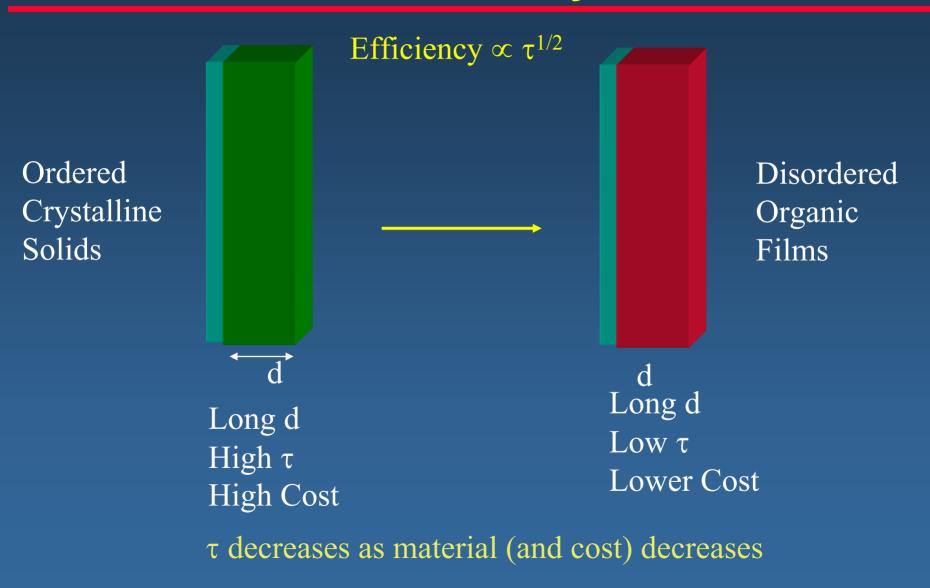
 3.3×10^{12} W/(2x10² W/m² x 10% Efficiency) = 1.6×10^{11} m² Requires 1.6×10^{11} m²/ 9.1×10^{12} m² = 1.7% of Land

U.S. Single Family Housing Roof Area

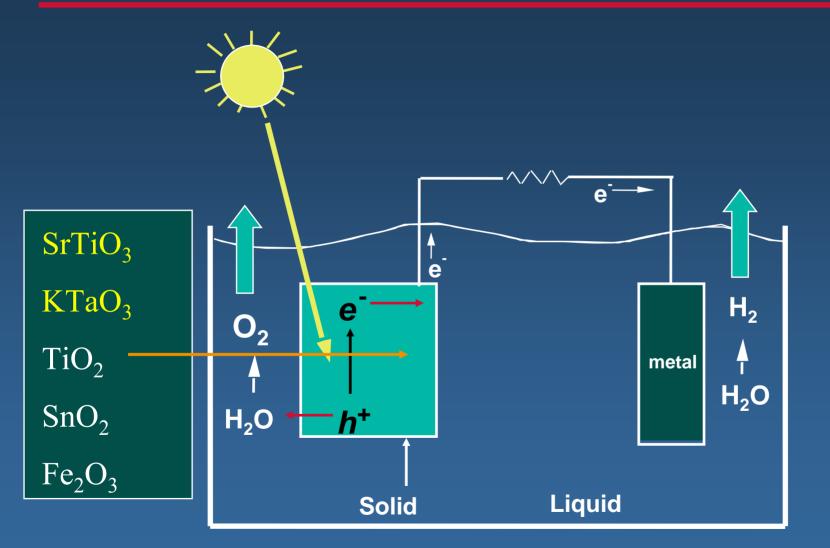
• $7x10^7$ detached single family homes in U.S. $\approx 2000 \text{ sq ft/roof} = 44 \text{ft } x 44 \text{ ft} = 13 \text{ m } x 13 \text{ m} = 180 \text{ m}^2/\text{home}$ $= 1.2x10^{10} \text{ m}^2$ total roof area

• Hence can (only) supply 0.25 TW, or $\approx 1/10^{\text{th}}$ of 2000 U.S. Primary Energy Consumption

Cost vs. Efficiency Tradeoff



Photoelectrochemical Cell



Light is Converted to Electrical+Chemical Energy

Potential of Renewable Energy

- Hydroelectric
- Geothermal
- Ocean/Tides
- Wind
- Biomass



Hydroelectric Energy Potential

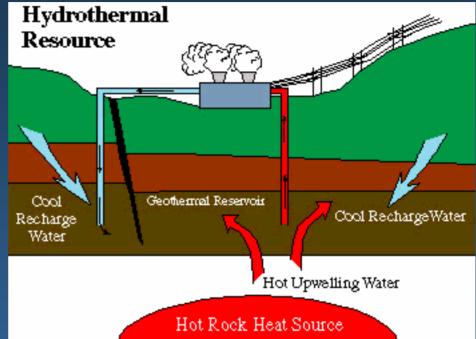
Globally

- Gross theoretical potential 4.6 TW
- Technically feasible potential 1.5 TW
- Economically feasible potential 0.9 TW
- Installed capacity in 1997 0.6 TW
- Production in 1997 0.3 TW
 □(can get to 80% capacity in some cases)
 Source: WEA 2000



Geothermal Energy

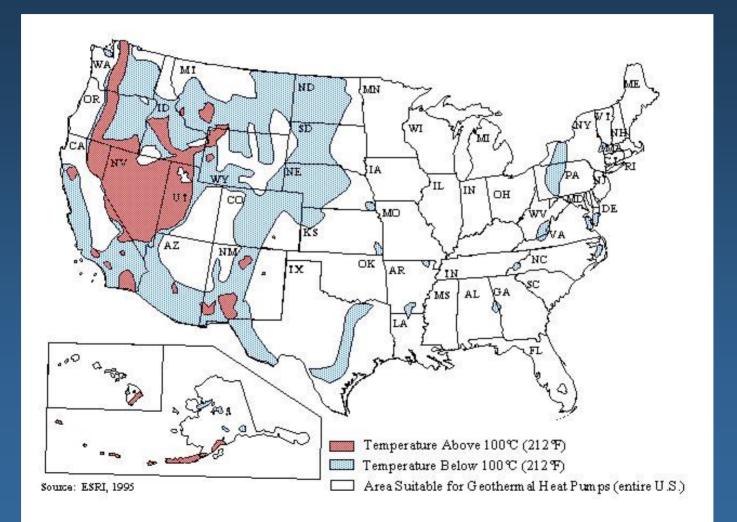




1.3 GW capacity in 1985

Hydrothermal systems Hot dry rock (igneous systems) Normal geothermal heat (200 C at 10 km depth)

Geothermal Energy Potential



Geothermal Energy Potential

- Mean terrestrial geothermal flux at earth's surface
- Total continental geothermal energy potential
- Oceanic geothermal energy potential

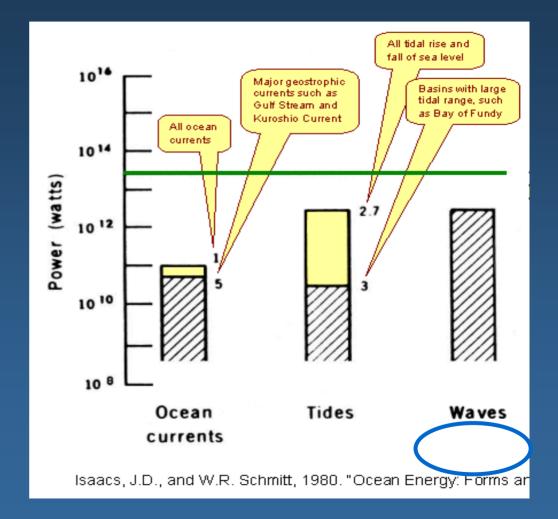
0.057 W/m² 11.6 TW 30 TW

- Wells "run out of steam" in 5 years
- Power from a good geothermal well (pair)
- Power from typical Saudi oil well
- Needs drilling technology breakthrough (from exponential \$/m to linear \$/m) to become economical)
- 5 MW 500 MW

Ocean Energy Potential

QuickTime™ and a TIFF (Uncompressed) decompressor are needed to see this picture.

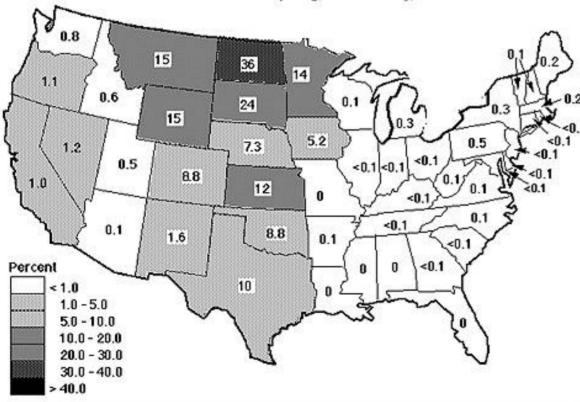
QuickTime™ and a TIFF (Uncompressed) decompressor are needed to see this picture.



Electric Potential of Wind

Wind Electric Potential as a Percent of Contiguous U.S. 1990 Total Electric Consumption

Specifications: Wind Resource> Class 4 at 30m (>320W/m2), 30m hub height, 10D x 5D Spacing, 25% Efficiency, 25% Losses



3.45 trillion kW-hr of Electricity = 0.39 TW

In 1999, U.S consumed



Excluded Land Area: 100% Environmental, 100% Urban, 50% Forest, 30% Agricultural, 10% Range

http://www.nrel.gov/wind/potential.html

Global Potential of Terrestrial Wind

Top-down:

•

Downward kinetic energy flux: 2 W/m^2 Total land area: $1.5 \times 10^{14} \text{ m}^2$ Hence total available energy = 300 TWExtract <10%, 30% of land, 30% generation efficiency: 2-4 TW electrical generation potential

• Bottom-Up:

Theoretical: 27% of earth's land surface is class 3 (250-300 W/m^2 at 50 m) or greater If use entire area, electricity generation potential of 50 TW Practical: 2 TW electrical generation potential (4% utilization of \geq class 3 land area, IPCC 2001)

Off-shore potential is larger but must be close to grid to be interesting; (no installation > 20 km offshore now)

Biomass Energy Potential

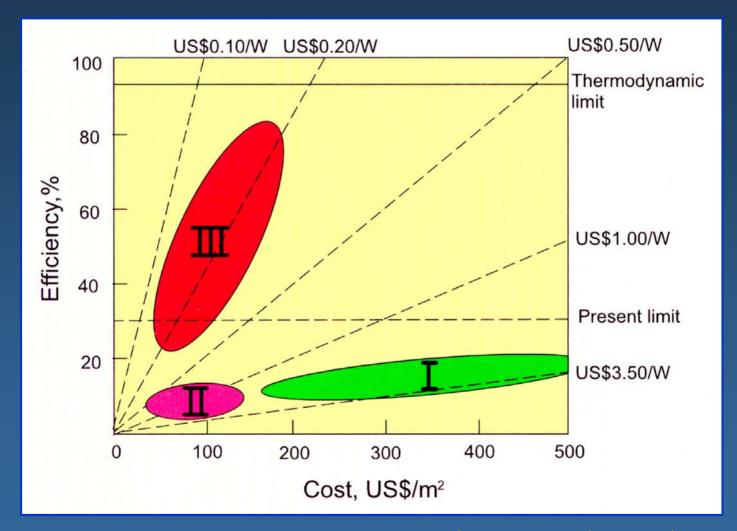
Global: Top Down

- Requires Large Areas Because Inefficient (0.3%)
- 3 TW requires ≈ 600 million hectares $= 6 \times 10^{12} \text{ m}^2$
- 20 TW requires $\approx 4x10^{13} \text{ m}^2$
- Total land area of earth: $1.3 \times 10^{14} \text{ m}^2$
- Hence requires 4/13 = 31% of total land area





Cost/Efficiency of "Solar Farms"

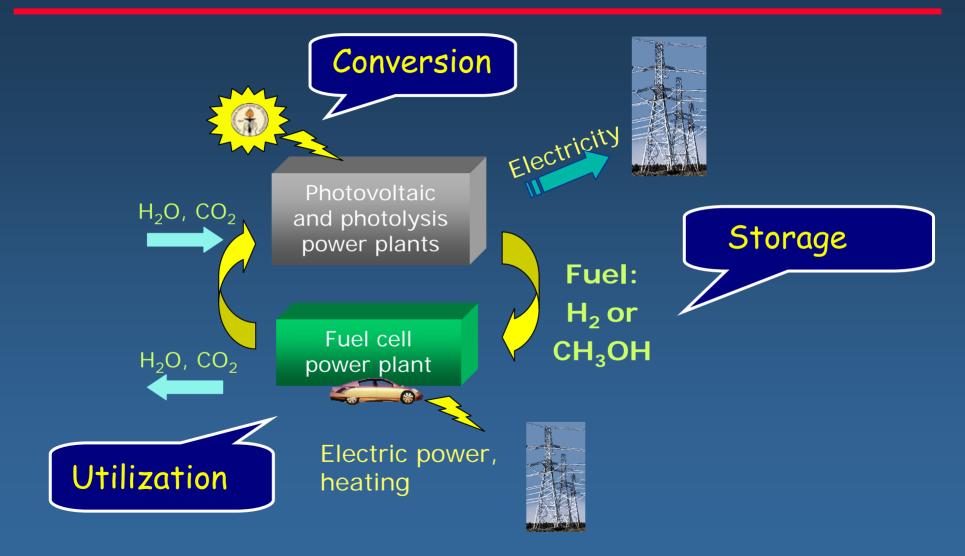


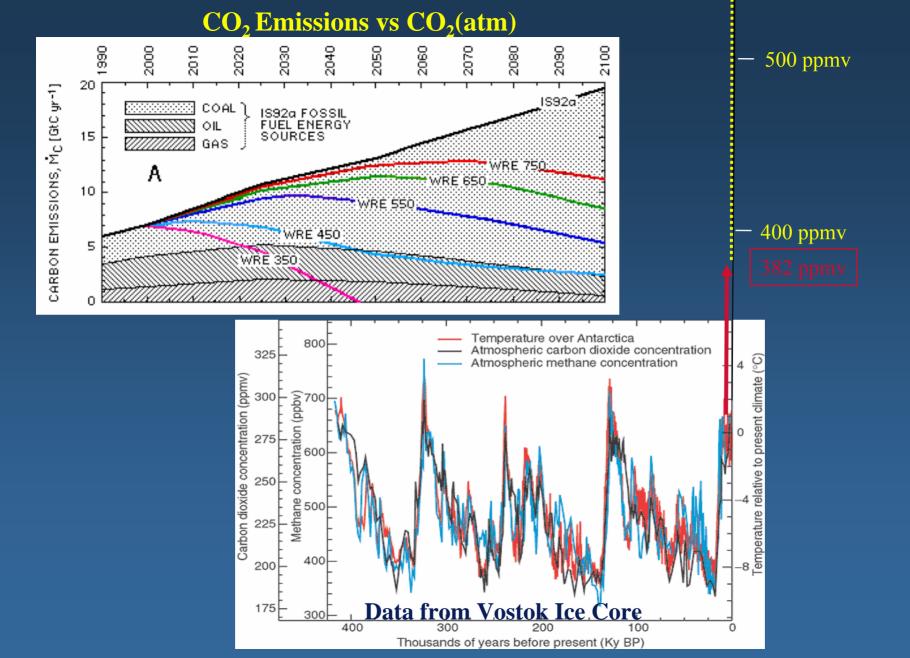
Costs are modules per peak W; installed is \$5-10/W; \$0.35-\$1.5/kW-hr



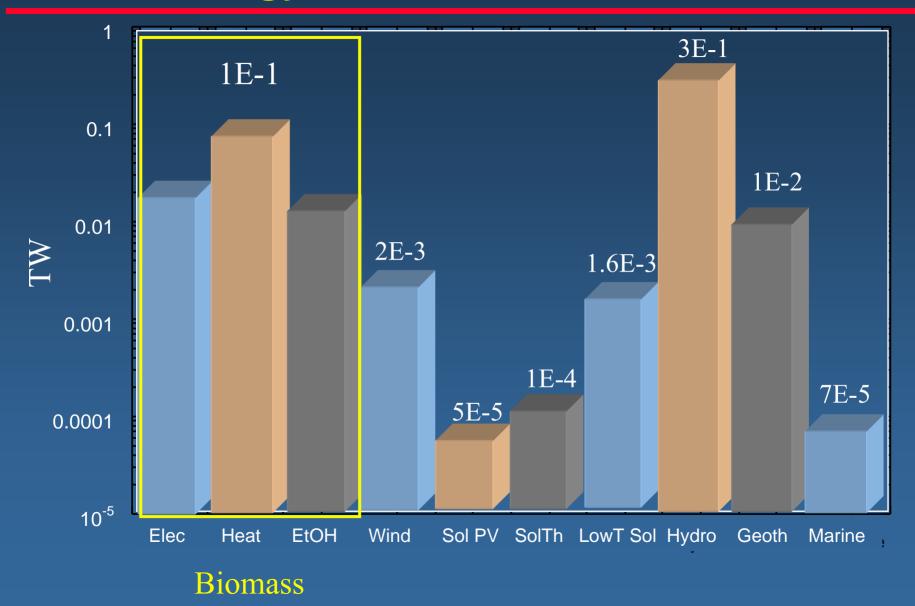
The Vision





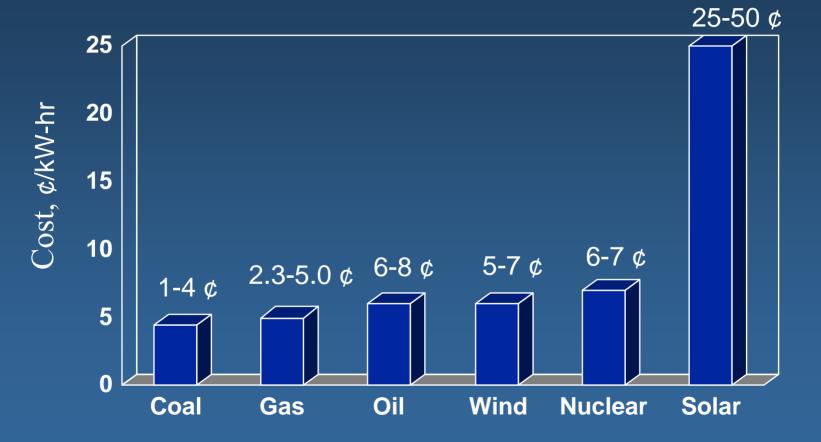


Energy From Renewables, 1998

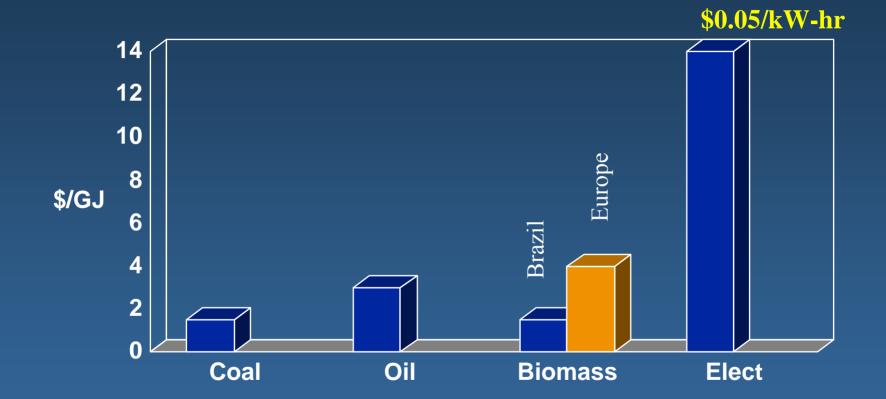


Today: Production Cost of Electricity

(in the U.S. in 2002)







www.undp.org/seed/eap/activities/wea

Conclusions

- Abundant, Inexpensive Resource Base of Fossil Fuels
- Renewables will not play a large role in primary power generation unless/until:
 - -technological/cost breakthroughs are achieved, or
 -unpriced externalities are introduced (e.g., environmentally
 -driven carbon taxes)

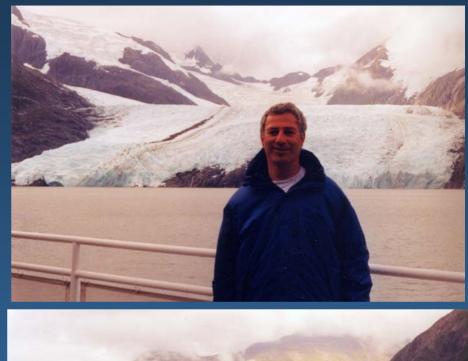
Argentina

Portage Lake/Glacier



Upsala Glacier

You can observe a lot by watching...





Lewis' Conclusions

• If we need such large amounts of carbon-free power, then:

- current pricing is not the driver for year 2050 primary energy supply
- Hence,

• Examine energy potential of various forms of renewable energy

- Examine technologies and costs of various renewables
- Examine impact on secondary power infrastructure and energy utilization

Oil Supply Curves

